## IAP6 Rec'd PCT/PTO 19 MAR 2007



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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO. H0498.70215US02

10/582,028

WOLF GREENFIELD & SACKS, PC

FEDERAL RESERVE PLAZA 600 ATLANTIC AVENUE BOSTON, MA 02210-2206 **Howard Green** 

INTERNATIONAL APPLICATION NO

PCT/US04/40977

I.A. FILING DATE

PRIORITY DATE

12/03/2004

12/08/2003

CONFIRMATION NO. 1428
371 FORMALITIES LETTER
\*OC0000000022452689\*

Date Mailed: 03/01/2007

23628

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 06/08/2006
- Copy of the International Search Report filed on 06/08/2006
- Preliminary Amendments filed on 06/08/2006
- Small Entity Statement filed on 06/08/2006
- U.S. Basic National Fees filed on 06/08/2006
- Priority Documents filed on 06/08/2006
- Specification filed on 06/08/2006
- Claims filed on 06/08/2006
- Abstracts filed on 06/08/2006
- Drawings filed on 06/08/2006

Confirmation
Docketing
04/01/07
05/01/07

MXP

DOCKETED MAR 08 2007

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application
  by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
  or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27,
  must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

• \$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

WINSTON M ALVARADO

Telephone: (703) 308-9140 EXT 206

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.	
10/582,028	PCT/US04/40977	H0498.70215US02	

FORM PCT/DO/EO/905 (371 Formalities Notice)

PTO/SB/21 (09-06)
Approved for use through 03/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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Application Number

TRANSMITTAL			Application Number		10/582,028-Conf. #1428			
			Filing Date		June 8, 2006			
FORM		First Named Inventor		Howard Green				
			Art Unit		N/A			
(to be used for all correspondence after initial filing)			Examiner Name Not Yet Assigned		Not Yet Assigned			
Total Numbe	r of Pages in This Submiss	sion 8	Attorney Docket Number H0498.70215US02		H0498.70215US02			
ENCLOSURES (Check all that apply)								
X Fee Transr	mittal Form	Drawing(s)			After Allowance Communication to TC			
Fee	Attached	Licensing-related Papers			Appeal Communication to Board of Appeals and Interferences			
Amendmen	nt/Reply	Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After Final Petition to Co					Proprietary Information			
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Extension of Time Request Terminal Disc			claimer		Other Enclosure(s) (please Identify below):			
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Certified Copy of Priority Document(s)  Landsca			ape Table on CD		upplemental Leturn Receipt Postcard			
Reply to Missing Parts/ Incomplete Application  Remarks								
	y to Missing Parts under FR 1.52 or 1.53							
Firm Name			NT, ATTORNEY, O	OR AC	BENT			
Firm Name	WOLF, GREENFIEL	.D & SACKS, P.	C.					
Signature	~~~ Dw .	siAen-						
Printed name	MaryDilys S. Anderson, Ph.D.							
Date	March 15, 2007		Reg. No	<sup>).</sup> 5	2,560			
Conference of Malling Harder 27 OFF 4.64								
Certificate of Mailing Under 37 CFR 1.8(a)  I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.								
Dated: March 15, 2007 Signature:(June M. Watson)								

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Under the	Paperwork Reduction A	ct of 1995, no perso	n are required	to respond to a co	llection of infor	mation unless it displ	ays a valid OM	B control numb	er.
Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).			Complete if Known				[		
			Application Number 10/582,028-0					ļ	
FEE TRANSMITTAL		Filing Date June 8, 2006					ļ ·		
For FY 2006					Howard Green			ļ	
X Applicant claims small entity status. See 37 CFR 1 27						ieu		}	
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Utility	30	0 150	500	250	200	100			
Design	20		100	50	130	65			
Plant	20		300	150	160	80			
Reissue	30		500	250	600	300			
Provisional	20	0 100	0	0	0	0			
2. EXCESS CL. Fee Description							Fee (\$)	Small Entity Fee (\$)	
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	ent claim over 3 (in	•	s)				200	100	
Multiple depend	dent claims						360	180	
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listings und sheets or fr	ntion and drawings der 37 CFR 1.52(e) action thereof. See	), the application as 35 U.S.C. 41(a)	size fee du (1)(G) and	e is \$250 (\$12 37 CFR 1.16(s	5 for small (s).	entity) for each a	dditional 50		
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Other (e.g., 1	late filing surcharge	e): 2051 Surch	arge-Late	oath or decla	ration	FC:2617	65.00 D	.00	
SUBMITTED BY									! !
Signature	-(In acc	Sper-	_	Registration No. (Attorney/Agent)	52,560	Telephone	(617) 646	-8000	ı
Name (Print/Type)	MaryDilys S. Ar			(Automoyingent)	•	Date	March 15		I
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I hereby certify t the date shown Alexandria, VA	hat this paper (along v below with sufficient p 22313-1450.	with any paper refer	red to as beir	ng Under 37 CFI ng attached or en envelope addres	closed) is bei	ng deposited with th	e U.S. Postal s, P.O. Box 14	Service on	

Dated: March 15, 2007

Signature: \_

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